

Customer Information 客户资料

Name 姓名		Brokerage Account Number 账户号码	
Address 地址		<input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/>	
City 都市	State 州	Zip Code 邮递区号	Daytime Phone Number 日间联系电话 ()

Investment Details 投资项目

Important: Please use separate application for investments to be made on different days/months and read the current prospectus for each fund in which you intend to invest. 若您希望选择多种不同的投资週期，请分别填写另一份申请表。投资前请详读该基金的公开说明书。

Provide Dollar Amount, Name of Mutual Fund, Ticker Symbol and how you like to purchase funds - Net Asset Value (NAV), Letter of Intent (LOV), or Rights of Accumulation (ROA) Note: Default is Purchase Offer Price (POP) 请填写投资金额、基金名称、基金代号、以及买进方式：基金单位净值(NAV)、购买意愿书(LOV)、或累积权利(ROA)。注意：若不勾选我们将帮您以出价买进(POP)。(若有疑问请与第一证券联络)

Investment Amount 投资金额 (最低\$100*)	Name of Mutual Fund 共同基金名称	Cusip or Symbol 代号	How to Buy 买进方式 (请勾选一项)
			<input type="checkbox"/> NAV <input type="checkbox"/> LOI <input type="checkbox"/> ROA
			<input type="checkbox"/> NAV <input type="checkbox"/> LOI <input type="checkbox"/> ROA
			<input type="checkbox"/> NAV <input type="checkbox"/> LOI <input type="checkbox"/> ROA
			<input type="checkbox"/> NAV <input type="checkbox"/> LOI <input type="checkbox"/> ROA
			<input type="checkbox"/> NAV <input type="checkbox"/> LOI <input type="checkbox"/> ROA

* Also subject to fund minimum if higher than \$100. 若基金基本金额超出\$100 将以该金额为準。

Check months in which to invest 投资月份 Jan. Feb. Mar. Apr. May Jun. Jul. Aug. Sep. Oct. Nov. Dec.

Or indicate cycle 或选择週期: Monthly 月 Quarterly (Mar., Jun., Sep., Dec.) 季(每三月)

Day on which to invest 买进日 _____ (如每月 15 日)

mm/dd/yy

Effective Date 生效日期 _____ Expiration Date 结束日期 _____ (如每月 15 日)

mm/dd/yy

mm/dd/yy

Use existing Firstrade Account balance to invest periodically 使用第一证券账户现金来进行定期投资 (必须在以上提供结束日期)

Payment Instructions 付款方式

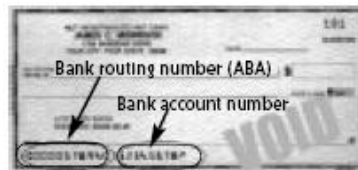
Electronic Transfer 电子转账: Debit my (our) Checking Account 支票账户 Savings Account 储蓄账户

Name of Bank 银行名称 _____

Account Registration 账户姓名 _____

Account Number 账户号码 _____

9 位数 ABA No.



注意： 随表请附上一张作废的支票或银行账户月结单影印本

Signature 签名

I (we) hereby authorize and request the investments by made on my (our) account above. I (we) agree that neither fund, nor the broker/dealer with whom this account is established, nor Apex Clearing Corporation (Apex) (clearing and executing agent), will be liable for any loss, liability, cost or expense for acting upon instructions. I acknowledge that I have read and understand the prospectus. It is understood that this authorization may be terminated by me (us) at any time three days prior to any scheduled transaction by written notification received by Apex. Any such notification shall be effective only with respect to entries initiated after receipt of such notification and a reasonable amount of time to act on it.

Customer Signature 客户签名 _____ Date 日期 _____ Signature of Joint Owner 共同持有人签名(若适用) _____ Date 日期 _____

Financial Consultant Name 理财顾问名字 _____ FC Rep # _____ Financial Consultant Signature 理财顾问签名 _____ Date 日期 _____