

## Electronic Document Delivery Agreement 电子文件传递协议

Firstrade Securities Inc. ("Firstrade") is required to send you trade confirmations, monthly account statements, tax documents, and proxies/prospectuses, and other account documents in writing. However, Firstrade, through its clearing firm, Apex Clearing Corporation ("Apex Clearing"), shall send notification regarding these documents to your e-mail address as it appears on the account. By sending this notification by e-mail, Apex Clearing will fulfill its regulatory obligation to provide written notification of these documents.

The transmission of such communications by e-mail will be considered personal delivery, whether or not you actually receive it. You understand that all documents will only be transmitted online unless you deliver written revocation of this agreement to <a href="mailto:service@firstrade.com">service@firstrade.com</a>. Upon receipt, Firstrade will forward paper copies of the documents by regular mail to your address of record with mailing fee charge directly towards your account.

Trade confirmations for the execution of orders will be considered conclusive if you do not submit a written objection within two days after Apex Clearing has sent such confirmation by e-mail. Monthly account statements will be considered conclusive if you do not submit a written objection within 10 days after Apex Clearing has sent such statement by e-mail. You understand that Firstrade and Apex Clearing are not responsible for errors and omissions, including the accuracy of the price reported by means of an electronic trade confirmation if the order was executed at another price. Firstrade and Apex Clearing agree to report the correct trade information as soon as feasible.

You may request replacement or duplicate paper trade confirmations, monthly account statements, tax documents, or proxies/prospectuses, or other account documents that are permitted to be delivered electronically to be delivered by regular mail and you agree to pay any fees incidental to such request.

By clicking Submit on the E-Documents enrollment page, you agree to the terms of the above agreement pursuant to the preferences you set above, and agree to accept these document notifications by e-mail, view and access these documents online in your Firstrade E-Docs account, provide Firstrade with a current e-mail address, and attest that such information is true and correct.

If your email address proves to be invalid or such transmissions are returned to us as undeliverable, you will receive paper copies of these documents with a fee until you re-enroll in the program at http://www.firstrade.com.

## 电子文件传递协议

第一证券公司("第一证券")必须以书面形式向您发送交易确认书,月度账户报表,税务文件和代理/招股说明书以及其他账户文件。但是,第一证券通过其清算公司 Apex Clearing Corporation("Apex Clearing"),应将有关这些文件的通知发送到您账户中显示的电子邮件地址。通过电子邮件发送此通知,Apex Clearing 将履行其监管义务,提供这些文件的书面通知。

通过电子邮件传输此类通信将被视为个人传递,无论您是否真正收到它。您了解所有文档仅在线传输,除非您将书面撤销本协议发送至 service@firstrade.com。收到后,第一证券将通过普通邮件将文件的纸质副本转发到您的记录地址,并直接向您的账户收取邮寄费用。

如果您在 Apex Clearing 通过电子邮件发送此类确认后两天内未提交书面异议,则执行订单的交易确认将被视为最终结果。如果您在 Apex Clearing 通过电子邮件发送此类声明后 10 天内未提交书面异议,则每月帐户对帐单将被视为最终结果。您了解第一证券和 Apex Clearing 对错误和遗漏不承担任何责任,包括如果订单以其他价格执行,则通过电子交易确认报告的价格的准确性。第一证券和 Apex Clearing 同意尽快报告正确的交易信息。

您可以要求更换或重复的纸质交易确认书·月度帐户对帐单·税务文件或代理/招股说明书·或允许以电子方式通过普通邮件递送的其他帐户文档·并且您同意支付此类请求附带的任何费用。

通过单击电子文档注册页面上的提交,即表示您同意上述协议的条款,并且同意通过电子邮件接收这些文档通知,在电子账户档案中在线查看和访问这些文档,为第一证券提供当前的电子邮件地址,并证明此类信息真实无误。

如果您的电子邮件地址被证明无效或者此类传输作为无法投递的方式退返,您将收到这些文件的纸质副本和交付纸质副本邮寄费用,直至您上 http://www.firstrade.com 重新注册计划。