

FINRA PUBLIC DISCLOSURE PROGRAM

FINRA's BrokerCheck Hotline provides certain information regarding the disciplinary history of FINRA members and their associated persons in response to written inquiries, electronic inquiries, or telephonic inquiries via FINRA's (1) toll-free telephone listing (1-800-289-9999), or (2) website (www.finra.org). Additionally, FINRA has prepared an investor brochure that includes information describing BrokerCheck.

FINRA's BrokerCheck 经纪商查询热线提供有关FINRA会员及其相关人士的纪律历史记录与某些信息。查询可通过FINRA的（1）免费电话列表（1-800-289-9999），或（2）网站（www.finra.org）另外，FINRA准备了一份投资者手册，其中包含描述BrokerCheck经纪商查询的信息。